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Abstract	The study focuses on arterial stenoses in arteriovenous fistulae (AVF), the occurrence of which was long underestimated. The objective is to investigate their influence on the hemodynamic conditions within the AVF. A numerical simulation of the blood flow is conducted within a patient-specific arteriovenous fistula that presents an 60% stenosis on the inflow artery. In order to find the vessel shape without stenosis and compare the flow conditions with and without stenosis, the endovascular treatment of balloon-angioplasty is simulated by modeling the vessel deformation during balloon inflation implicitly. Clinically, balloon-angioplasty is considered successful if the post-treatment residual degree of stenosis is below 30%. Different balloon inflation pressures have been imposed numerically to obtain residual degrees of stenosis between 30 and 0%. The comparison of the computational fluid dynamic simulations carried out in the patient-specific native geometry and in the treated ones shows that the arterial stenosis has little impact on the blood flow distribution. The venous flow rate remains unchanged as long as thrombosis does not occur: the nominal flow rate needed for hemodialysis is maintained, which is not the case for a venous stenosis. An arterial stenosis, however, causes an increase in the pressure difference across the stenosed region. A residual degree of stenosis below 20% is needed to guarantee a pressure difference lower than 5 mmHg, which is considered to be the threshold stenosis pressure difference.		
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Influence of an Arterial Stenosis on the Hemodynamics Within an Arteriovenous Fistula (AVF): Comparison Before and After Balloon-Angioplasty

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13 Abstract-The study focuses on arterial stenoses in arterio-14 venous fistulae (AVF), the occurrence of which was long 15 underestimated. The objective is to investigate their influence 16 on the hemodynamic conditions within the AVF. A numer-17 ical simulation of the blood flow is conducted within a 18 patient-specific arteriovenous fistula that presents an 60% 19 stenosis on the inflow artery. In order to find the vessel shape 20 21 22 23 24 25 26 27 28 29 30 without stenosis and compare the flow conditions with and without stenosis, the endovascular treatment of balloonangioplasty is simulated by modeling the vessel deformation during balloon inflation implicitly. Clinically, balloon-angioplasty is considered successful if the post-treatment residual degree of stenosis is below 30%. Different balloon inflation pressures have been imposed numerically to obtain residual degrees of stenosis between 30 and 0%. The comparison of the computational fluid dynamic simulations carried out in the patient-specific native geometry and in the treated ones shows that the arterial stenosis has little impact on the blood 31 32 flow distribution. The venous flow rate remains unchanged as long as thrombosis does not occur: the nominal flow rate 33 needed for hemodialysis is maintained, which is not the case 34 for a venous stenosis. An arterial stenosis, however, causes 35 an increase in the pressure difference across the stenosed 36 region. A residual degree of stenosis below 20% is needed to 37 guarantee a pressure difference lower than 5 mmHg, which is 38 considered to be the threshold stenosis pressure difference.

Keywords—Arteriovenous fistula, Stenosis, Balloon-angio-plasty, Hemodynamics, Stenosis pressure drop.

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INTRODUCTION

An arteriovenous fistula (AVF) is a permanent 43 vascular access created surgically in patients with end-44 stage renal disease waiting for kidney transplanta-45 tion.²² It enables circulating blood extra-corporeally to 46 a filtering machine during the sessions of hemodialysis: 47 blood is cleaned from metabolic waste products and 48 excess of water.¹⁹ The most common approach used to 49 create the arteriovenous fistula is to suture a vein onto 50 an artery in the forearm or in the arm. Autologous 51 fistulas have a 3- to 6-month maturation, during which 52 the vein dilates and the wall collagen content 53 increases.^{8,10} Over maturation, the venous flow rate 54 increases by a factor 20-50 and reaches a value larger 55 than 500 mL/min, which is required for hemodialy-56 sis.^{22,40} The fistula acts as a short-cut between the high 57 pressure arterial vasculature and the low pressure 58 venous tree causing a significant change of the hemo-59 dynamic conditions. 60

The issue is that more than half the AVF fail within 61 2 years.⁴ Loss of patency of the vascular access can 62 result in underdialysis, leading to increased morbidity 63 and mortality. For many years venous stenoses (also 64 called outflow stenosis) were considered to be the main 65 complication affecting arteriovenous fistulas.^{7,23} They 66 typically form in the draining vein near the vein-67 to-artery junction (called the anastomosis) or in the 68 central veins located downstream of the anastomo-69 sis.^{28,37} Coentrao and Turmel-Rodrigues pointed out 70 that venous stenoses are so common that many clini-71 cians do not diagnose their presence.⁷ They directly 72 compromise the hemodialysis treatment, because they 73

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reduce the venous blood flow or even block it when
they cause thrombosis.¹⁴

76 For a long time, the occurrence of arterial inflow 77 stenoses was considered a rare complication in 78 hemodialysis fistula.³⁶ Recent studies have, however, 79 provided a very different picture of the reality. Arterial stenoses have been shown to occur in 40% of patients 80 when the AVF is created in the forearm.^{2,11} The 81 occurrence rate is lower when the AVF is in the upper 82 83 arm (presumably around 0-4%). Relatively little is 84 known about arterial stenoses. Indeed they remain often undiagnosed because they hardly affect the 85 parameters monitored during hemodialysis, unless they 86 are close to the anastomosis.^{31,34} They could easily be 87 detected by ultrasound scans or angiography, but 88 89 neither are part of the routine exam conducted on 90 hemodialyzed patients.

91 If detected, correction of the arterial stenosis needs 92 to be considered before thrombosis and vascular access 93 loss. The indications for treatment are so far the same 94 as for venous stenoses: a lumen narrowing greater than 95 50% or a pressure drop higher than 5 mmHg.^{2,14} The lumen criterion is thought to be universally valid¹⁴ but 96 97 less can be said on the critical pressure drop across an 98 arterial stenosis. The stenosis can be treated either 99 surgically or endovascularly, the former being more 100 invasive and usually performed when the vascular 101 anatomy is likely to affect the success rate of the endovascular procedure.³⁷ Balloon-angioplasty is the 102 endovascular treatment of choice: it consists in inflat-103 104 ing a balloon to restore the stenosed vessel patency. 105 After treatment, the diameter at the stenosis throat is 106 rarely restored to its physiological value, and a residual 107 stenosis remains. Treatment is considered successful 108 when the degree of residual stenosis is below 30%.3,6,14,37 109

110 The objective of the study is to provide a better understanding of the consequences of an arterial ste-111 nosis. We aim at investigating its influence on the 112 113 hemodynamic conditions in a patient-specific AVF: the 114 blood flow conditions are hence compared with and 115 without the lesion. The approach used is based on 116 computational fluid-dynamic (CFD) simulations, 117 which have been reported to be effective in the evaluation of the AVF hemodynamics.12,21,27 Previous 118 studies have, however, not yet investigated the conse-119 120 quences of a stenosis in a fistula. Numerical simula-121 tions present the advantage of providing quantitative 122 information on flow parameters such as the wall shear 123 stress and stenosis pressure difference that cannot be 124 measured in vivo. Such information can be useful to set 125 the guidelines for the treatment of arterial stenoses in 126 AVF, which so far do not exist. The treatment of 127 balloon-angioplasty is simulated numerically to get the 128 post-treatment vascular geometry in the case of degrees



of residual stenosis ranging from 30 to 0%. A technique is proposed to set patient-specific boundary conditions from the only clinical data that can be measured *in vivo* on the patients, i.e., the flow rates. 132

133 The manuscript is structured as follows. The techniques used to generate the patient-specific vessel 134 geometry, simulate balloon-angioplasty and conduct 135 computational fluid dynamic studies are detailed in §2, 136 137 along with the validation of the numerical simulations. In §3, we compare the geometries and flow conditions 138 before and after balloon-angioplasty. The evolution of 139 140 the hemodynamic flow parameters is studied as a function of the degree of post-treatment residual ste-141 nosis. We conclude with a discussion on the possible 142 clinical implications of the study. 143

METHODS

Patient-Specific Geometry 145

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The investigated vasculature consisted of a mature 146 side-to-end radio-cephalic AVF created in a patient 147 with end-stage renal failure. The vascular lumen was 148 segmented and reconstructed from medical images. 149 The images were obtained by computed tomography 150 (CT) scan angiography on a patient that was at rest in 151 supine position at the Polyclinique St Côme (Com-152 piègne, France). In order to visualize blood in the 153 artery and in the vein during the same acquisition, a 154 contrast bolus was injected in the patient opposite arm. 155 The amount of contrast agent was dosed to optimize 156 157 the image contrast and resolution in both vessels. The best volume reconstruction was obtained by applying a 158 combination of intensity and gradient forces and a 159 smoothness constraint based on the curvature of the 160 surface.²¹ The reconstructed vascular geometry is 161 shown in Fig. 1: it presents an 60% stenosis on the 162 arterial side. Throughout the manuscript, the subscript 163 *a* refers to the arterial part of the AVF, the subscript v 164 to the vein, the superscript *i* to the inlet of the vessel 165 and the superscript *o* to the outlet. 166

Numerical Method to Simulate Balloon-Angioplasty 167

Numerical Procedure

169 The treatment by balloon-angioplasty was simulated numerically using ANSYS-Structural (ANSYS, 170 Inc.). Our objective was not to study the transient 171 172 balloon deformation, but to obtain the equilibrium configuration of the stenosed wall. We hence used an 173 implicit formulation of the solid problem, which is one 174 175 of the original aspects of the study. A balloon was positioned across the stenosis; it was inflated (and 176 177 deflated) by imposing an internal pressure in an



FIGURE 1. Geometry of the patient-specific arteriovenous fistula. The surface S_a^i is the arterial inlet section, S_a^o the arterial outlet section and S_v^o the venous outlet section. The dotted lines indicate the separation between the stenosed and non-stenosed regions of the artery and the separation between the artery and vein. The insert on the left shows the velocity waveform v_a^i set at the arterial inlet (S_a^i) ; it was been measured on the patient by echo-Doppler. The insert on the right is a magnification of the mesh at the distal arterial outlet (S_a^o) .

178 implicit structural simulation. The simulation was 179 conducted with the Lagrangian multiplier-based mixed 180 deformation-pressure numerical scheme (u-P formula-181 tion). Neither translation nor rotation was allowed at 182 the extremities of the balloon and vessel walls. The convergence criteria on force, momentum, displace-183 ment and rotation were set to be 10^{-4} . In all the sim-184 185 ulations (solid and fluid), the reference pressure was the atmospheric pressure, which was set to zero to 186 187 obtain gauge pressure results. No wrinkle was 188 observed on the balloon, since the inner balloon 189 pressure also remained higher than the outer pressure.

190 Modeling of the Angioplasty Balloon

191 The balloon was modeled as a cylinder with linear 192 elastic mechanical properties. It was created as a sep-193 arate body using ANSYS FE-Modeler (ANSYS, Inc.) 194 It was meshed with a monolayer of discrete-Kirchhoff 195 theory-based, four-node linear-triangular shell finite 196 elements and positioned across the stenosis as shown in Fig. 2a. The balloon Young modulus was set at 9×10^8 197 Pa.¹⁶ A Poisson coefficient of 0.3 was imposed to 198 199 guarantee numerical convergence.

200 Modeling of the Arterial Vessel

201 For the simulation of balloon-angioplasty, only the 202 portion around the stenosed artery was modeled. The 203 simulated zone had a total length of 4.2 cm and was 204 centered onto the stenosis. No direct measurement of 205 wall thickness were possible in vivo. Measurements in 206 arteries of similar caliber found the thickness to be about 1/10th of the arterial diameter.¹⁸ The thickness 207 208 of the non-stenosed artery was therefore set to be 0.6

mm. In the stenosed part, an average thickness value 209 210 equal to 0.8 mm was imposed. The vascular wall was meshed with a monolayer of discrete-Kirchhoff theory-211 based, four-node linear-triangular shell finite elements. 212 Prior to meshing, the AVF wall was sub-divided in 213 order to impose different mechanical properties to the 214 healthy artery and to the stenosed arterial portion 215 (Fig. 1). 216

The non-stenosed parts of the artery were assumed 217 to be incompressible and to follow the 3rd-order Yeoh 218 model.³⁹ The associated strain energy function ψ was 219

$$\psi = C_{10}(I_1 - 3) + C_{20}(I_1 - 3)^2 + C_{30}(I_1 - 3)^3 \quad (1)$$

with I_1 the deviatoric first principal strain invariant. 221 The material constants were found by best-fitting 222 experimental data obtained on healthy arteries²⁹: 223 $C_{10} = 0.763 \times 10^5$ Pa, $C_{20} = 3.697 \times 10^5$ Pa, $C_{30} = 5.301 \times$ 224 10^5 Pa (coefficient of determination $R^2 = 0.985$). 225

The stenosed part of the artery was modeled with 226 the Maxwell model, which is a viscoplastic model 227 composed of an elastic spring in series with a viscous 228 229 dashpot. The law parameters were chosen following two criteria. We imposed that the stenosed and non-230 231 stenosed parts of the artery had the same stiffness at small deformation in order to ensure mechanical con-232 tinuity at the interface between them. At large defor-233 mations the parameter values were set in order to fit 234 the data of Maher et al.²⁴ 235

Description of the Various Stages of the Simulation 236

At each instant of time, the structural simulation 237 consisted in finding the mechanical equilibrium 238 between the deformable artery and the elastic balloon 239





FIGURE 2. Snapshots of the evolution of the artery shape during the numerical simulation of balloon-angioplasty. (a) Initial configuration. (b) Configuration when the balloon comes into contact with the artery. (c) Configuration at maximum balloon internal pressure. (d) Vessel final shape when the balloon is completely deflated. (e) Vessel cross-sections at the throat of the stenosis for the patient-specific (60%-stenosis) and treated geometries (30, 20, 10, 0% residual stenosis).

240 implicitly. The vessel residual stresses were neglected 241 due to a lack of existing data: to estimate them, the 242 unloaded vessel geometry would have needed to be 243 determined, since the artery was under pressure and 244 already stretched when the imaging data were obtained 245 in vivo. But such a process was not feasible in the case 246 of a stenosed vessel, as no information was known on 247 the actual wall thickness and properties in the stenosed 248 region. It is likely that assuming zero residual stress 249 mainly affects the balloon inflation pressures needed to 250 reach the targeted degree of residual stenosis. But one 251 can hypothesize that it will have a negligible effect on 252 the actual vessel shape that is obtained.

253 At the beginning of the simulation the balloon was 254 not in contact with the artery (Fig. 2a). The balloon 255 was inflated by an increasing linear ramp in pressure. Figure 2b shows when contact occurred between the 256 balloon and arterial wall. The contact problem was 257 258 solved using the augmented-Lagrange method; it was 259 supposed to be frictionless.¹⁵ The balloon was further 260 inflated until the maximum pressure was reached 261 (Fig. 2c). It was then deflated following a decreasing 262 linear pressure ramp, leaving the vessel wall in its post-263 treatment configuration (Fig. 2d). Different values of 264 the balloon pressure were imposed (6, 5.6, 5.1, 4.7 bar). 265 They respectively led to a degree of residual stenosis 266 equal to 0, 10, 20 and 30% after angioplasty (Fig. 2e).



ANSYS-CFX (ANSYS, Inc.) was used to solve the 270continuity and momentum equations in their conservative 271 convection-diffusion form.¹ The equations were solved 272 implicitly with the Rhie-Chow interpolation method.³⁰ We 273 used the high-resolution, second-order backward Euler 274scheme implemented in the ANSYS-CFX fluid solver 275 (ANSYS, Inc.). It is an implicit time-stepping scheme 276 recommended for non-turbulent flow simulations.¹ The 277 system of algebraic equations was solved iteratively using a 278 279 time-step Δt equal to 5 ms. At each time step, the residual 280 was calculated and reported as a measure of the overall conservation of the flow properties. The maximum resid-281 ual allowed was 10^{-4} . Convergence was verified in less 282 than 10 sub-iterations at the first time step and in less than 283 284 five iterations at all the following time steps.

Modeling of Blood in the Lumen 285

The patient-specific lumen was meshed starting 286 from the triangulation of the lateral face of the 287 reconstructed AVF lumen (right insert in Fig. 1). The 288 mesh was made of an hybrid grid created in ANSYS T-Grid. First the boundary layer was meshed with seven 290

layers of prismatic elements of decreasing thicknessalong the radius. The core was then meshed with tet-rahedrons. Both cell element types were linear.

Blood was assumed to be an isotropic homogeneous non-Newtonian fluid. Modeling blood with a non-Newtonian model is justified by the low shear rate conditions that prevail inside the cephalic vein: the wall shear stresses in this region would have otherwise been overestimated by a Newtonian model. The blood apparent viscosity μ was assumed to follow the Casson model:

$$\sqrt{\mu} = \sqrt{\frac{\tau_0}{\dot{\gamma}}} + \sqrt{\kappa}.$$
 (2)

302 where τ_0 represents the yield stress, $\dot{\gamma}$ the shear rate 303 and κ the consistency. Blood density was set at 1050 304 kg m⁻³. The model parameters were chosen according 305 to experimental data obtained at low shear rates: 306 $\tau_0 = 4 \times 10^{-3}$ Pa, $\kappa = 3.2 \times 10^{-3}$ Pa s.²⁵

307 Boundary Conditions

A time-dependent velocity v_a^i was set at the arterial 308 309 inlet S_a^i : it was measured by echo-Doppler in the 310 proximal radial artery of the patient on the day of the 311 CT-scan (Fig. 1). The measurements corresponded to a systolic Reynolds number of 1230, a time-averaged 312 313 Reynolds number of 1020 (time-averaged inlet flow 314 rate $\overline{Q}_a^i = 1.1 \text{ Lmin}^{-1}$) and a Womersley number of 4. 315 The inlet velocity was imposed as a flat velocity profile. 316 At each of the two outlets S_a^o and S_v^o , a Windkessel 317 model was imposed, which consists in imposing a 318 pressure-flow relationship as boundary condition.³⁸ 319 The Windkessel model is based on the hypothesis that 320 the blood flow is a function of the compliance and 321 resistance of the network. If one models the vessel 322 compliance as a capacitor and the hydraulic resistance 323 as an electrical resistance, one can generate a zero-324 dimensional model of the flow in the network through 325 a simple electrical analog circuit.

The behavior of the downstream vasculature was presently modeled with a capacitor C in parallel with a resistance R. The relationship between the blood flow rate Q and the pressure P was then given by

$$\frac{\partial P}{\partial t} = \frac{Q - \frac{P}{R}}{C}.$$
(3)

The equation was discretized using a first-orderscheme.

The method set by Molino *et al.*²⁶ to estimate the parameters *R* and *C*, requires knowing

335	- the pulse pressure, defined as the difference
336	between the systolic pressure P_s and the dia-
337	stolic pressure P_d at the considered outlet;

338 — the time-averaged pressure \overline{P} at the flow outlet;

- the time-averaged blood flow rate \overline{Q} at the same 339 flow outlet. 340

The time-averaged flow rate was known from the 341 in vivo measurements by echo-Doppler, but neither the 342 pulse pressure nor the time-averaged pressure were 343 allowed to be measured on the patient, as pressure 344 measurements are invasive and are not part of the 345 patient regular follow-up. The only solution to esti-346 mate the pulse pressure and pressure drop along the 347 AVF was to use simulation. A flow simulation was run 348 imposing the measured flow rate at the inlet, the 349 measured flow split between the arterial and venous 350 outlets and constant outlet pressures at sections S_a^o and 351 S_{v}^{o} . It provided a pulse pressure $P_{s} - P_{d} = 12 \text{ mmHg}$. 352

To get the time-averaged pressures at the flow out-353 lets, \overline{P}_a^o and \overline{P}_v^o , from the calculated value of the pres-354 sure drop along the AVF, we searched the literature 355 for the value of the mean pressure in the proximal 356 radial artery in AVF patients: functional fistulas have 357 an inlet mean pressure, which can vary between 50 and 358 100 mmHg,⁵ depending on the patient general health 359 conditions. To cover the whole possible range, differ-360 ent values of time-averaged inlet pressure \overline{P}_{a}^{l} were 361 chosen. Table 1 provides the R and C values that were 362 calculated at the arterial and venous outlets for each 363 value of \overline{P}_a^{t} using the Molino *et al.* method.²⁶ 364

The same R and C values were used for all the 365 simulations, both before and after the treatment by angioplasty. The post-angioplasty simulations therefore model the situation shortly after treatment, before 368 the occurrence of any physiological adaptation in the 369 distal circulation. 370

Initial Conditions 371

The velocity field was initialized with the solution of the steady-state simulation. In this simulation the fluid 373 properties were identical to the ones described above. As boundary conditions, we imposed the time-averaged values of the inlet velocity at S_a^i and the time-averaged values of the venous and arterial pressures at 377 378 S_v^p and S_a^o , respectively. 378

Hemodynamic Parameters 379

The use of CFD simulations makes it possible to also380evaluate the classical hemodynamic parameters based381on the wall shear stress. The wall shear stress WSS is382defined as the modulus of the two-component vector383

$$\boldsymbol{\tau}_{\boldsymbol{w}} = \boldsymbol{\mu} \frac{\partial \mathbf{v}}{\partial \mathbf{n}},\tag{4}$$

where τ_w is the viscous stress acting tangentially to the vessel wall and **n** the unit vector normal to the vessel wall. The time-averaged wall shear stress is defined as 387



TABLE 1. Values of the venous and arterial resistances $(R_v \text{ and } R_a)$ and compliances $(C_v \text{ and } C_a)$ for the different values of time-averaged inlet pressure \overline{P}'_a .

\overline{P}_{a}^{i}	$P_{a_s}^i$	$P_{a_d}^i$	R _a	Ca	R_v	C_v
55 70	63 78	51 66	11.9 30	4.98 5	4.77 6 5	11.5 12
90	98	86	41	5.04	7.4	12.1

The corresponding inlet pressures at peak systole $P_{a_s}^i$ and diastole $P_{a_d}^i$ are provided for reference. The pressures values are in mmHg, the resistances in $10^8 kg m^{-4} s^{-1}$ and the compliances in $10^8 kg m^{-4} s^{-1} kg^{-1} m^4 s^2$.

$$\overline{WSS} = \frac{1}{T} \int_{0}^{T} |WSS| dt, \qquad (5)$$

where T is the period of the cardiac cycle.

In a healthy radial artery, \overline{WSS} is in the range 1–2 Pa,³⁷ which we will refer to as the healthy physiological WSS range. In a vein, it was reported that neointimal hyperplasia rapidly develops when WSS values are below 0.5 Pa.²⁰

Validation

396 The solid and fluid solvers were validated indepen-397 dently. For the fluid solver, different mesh sizes were 398 tested in order to guarantee a maximum error of 1% 399 on the velocity magnitude and wall shear stresses and 400 acceptable computational time $t_{\rm comp}$. We investigated meshes of maximum element length Δl_{max} equal to 1, 2, 401 4, 5, 7 and 10×10^{-3} mm. The results obtained with 402 the smallest mesh size (10^{-3} mm) were used as refer-403 404 ence. In general, the relative error ε_u on the quantity u405 was defined as $|u - u^{ref}|/u^{ref}$. The relative error was calculated for $u = v_{max}$, the maximum amplitude of the 406 407 velocity vector **v** at the stenosis, and for $u = \overline{WSS}$, the 408 time-averaged wall shear stress.

409 Figure 3 shows that the numerical procedure con-410 verged as Δl_{max} to the power 4.8 and that the nor-411 malized computational time decreased about linearly 412 with $\Delta l_{\rm max}$. Hereafter, the results of the simulations are 413 shown for a mesh characterized by a maximum ele-414 ment length of 4×10^{-3} mm, since it respects the 1%-415 error limit (horizontal line in Fig. 3a) for both the 416 velocity and wall shear stress and runs four times faster than the reference case (Fig. 3b). The total number of 417 418 elements used to mesh the blood lumen is then 419 7.84×10^5 . A magnification of the mesh at the distal 420 arterial outlet (S_a^o) is shown in Fig. 1.

421 The fluid solver was then further validated through 422 comparison with measurements obtained *in vitro* in a 423 rigid mold of the patient-specific AVF geometry. More 424 details on the comparison can be found in Decorato 425 *et al.*⁹



The solid solver was validated by modeling the 426 427 inflation of a cylinder from radius R to radius $R(1 + \alpha)$ 428 by an internal pressure P. A displacement was imposed to the shell, which induced a stretch ratio $\lambda = 1 + \alpha$. 429 430 For a thin shell, an analytical solution can be derived relating the radial and tangential stresses to λ through 431 the strain energy function.^{17,35} Comparing the 432 numerical results to the theoretical predictions, a pre-433 434 cision of 1% was obtained when the arterial wall was discretized with 20 760 shell elements. A much smaller 435 number of elements was needed to discretize the bal-436 loon (2100 shell elements), owing to its simple cylin-437 drical geometry and smaller length. 438

Comparison of Pre- and Post-angioplasty Geometries 440

The success rate of the treatment by balloon-441 442 angioplasty is mainly measured by the change in crosssection of the stenosis. Figure 2e shows the evolution 443 of the cross-sectional area A within the plane perpen-444 dicular to the flow direction that passes through the 445 stenosis throat. The degree of residual stenosis is 446 obtained by comparing the value of A with the average 447 cross-section of the parent vessel upstream of the 448 treated stenosis. From the cross-sectional area, one can 449 calculate the equivalent vessel diameter D_{eq} , which is 450 the diameter of the disk with the same cross-section: 451

$$D_{\rm eq} = \sqrt{\frac{4A}{\pi}}.$$
 (6)

Before treatment, $D_{eq} = 3.76$ (60% stenosis degree). 453 After treatment, it is reduced to $D_{eq} = 4.97$, 5.31, 5.54 454 and 5.94 mm, when the stenosis is reduced to 30, 20, 10 455 and 0% respectively. 456

Comparison of Pre- and Post-angioplasty 457 Hemodynamic Conditions 458

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Results are first shown for an inlet mean pressure of $\overline{P}_{a}^{i} = 70 \text{ mmHg}$. The influence of the boundary conditions will be examined in the next section. 461

Blood Flow

463 The streamlines, shown in Figs. 4a, 4b at peak systole for the patient-specific native (60% stenosis) and 464 fully treated (0% stenosis) geometries respectively, 465 provide a qualitative picture of the flow field distri-466 bution within the AVF. The flow field away from the 467 stenosis appears not to be significantly influenced by 468 the angioplasty treatment. This is confirmed by the 469 comparison of the time-averaged flow rate at the 470 venous outlet in the two cases: it is reduced by only 4% 471

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FIGURE 3. (a) Relative error on the maximum velocity ($\varepsilon_{v_{max}}$) and time-averaged wall shear stresses ($\varepsilon_{\overline{WSS}}$) as a function of the maximum mesh length ΔI_{max} . The horizontal line indicates an error of 10⁻², chosen as the threshold. (b) Normalized computational time t_{comp}/t_{comp}^{ref} as a function of the maximum mesh length ΔI_{max} . The reference case corresponds to the mesh with a maximum element length of 10⁻³ mm.



FIGURE 4. Streamlines at peak systole in the a) patient-specific and b) 0% residual stenosis geometries. c) Evolution of the peak systolic velocity v_s and late diastolic velocity v_d with the degree of residual stenosis.

- when the arterial lumen cross-section is fully reopened.
 The main difference is observed locally at the stenosis,
 where the velocity magnitude is reduced following the
 removal of the stenosis. Figure 4c indicates the evo-
- 476 lution of the peak systolic velocity v_s and late diastolic
- 477 velocity v_d with the degree of residual stenosis. Both
- 478 velocities follow a similar trend when the stenosis is
- treated and decrease by about 20%.
- 480 Wall Shear Stresses

481 Figures 5a, 5b show the spatial distribution of the 482 time-averaged wall shear stress (\overline{WSS}) along the fistula 483 wall for the patient-specific 60% stenosis) and fully 484 treated (0% stenosis) geometries. Apart from the ste-485 nosis region, the WSS distribution is identical before 486 and after treatment in the entire AVF geometry:

 $\begin{array}{rcl}
487 & - & \text{The proximal and distal parts of the artery} \\
488 & & \text{experience physiological values of } \overline{WSS} \text{ in the} \\
489 & & \text{range 1-2 Pa.}^{37}
\end{array}$

- The anastomosis experiences WSS one order of magnitude higher: the maximum instantaneous value is about 20 Pa.
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- On the contrary, the vein experiences \overline{WSS} 493 values below 1 Pa or even 0.5 Pa in the dilated 494 venous region. 495

Angioplasty, however, impacts the WSS in the stenosis 496 region: Fig. 5c shows the \overline{WSS} values at the stenosis 497 location when the stenosis degree is corrected by 498 angioplasty. After treatment, the \overline{WSS} values are 499 reduced from a maximum instantaneous value of 47 500 Pa (space-averaged value of 30 Pa) to nearly physio-501 logical values. Angioplasty treatment therefore has a 502 pure local effect on the wall shear stresses. This is 503 coherent with the fact that it has no influence on the 504 overall flow distribution as shown in section 3.2.1. 505

Pressure Drop Across the Stenosis

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The pressure drop across the stenosis is evaluated as 507 the difference in average pressure between plane B₁, 508





FIGURE 5. Spatial distribution of the time-averaged wall shear stress \overline{WSS} for the (a) patient-specific and (b) 0% residual stenosis geometries. (c) Evolution of the time-averaged wall shear stress \overline{WSS} at the stenosis throat with the stenosis degree.

509 located 1 mm upstream of the stenosis, and plane B_2 , 510 1 mm downstream. The two planes are locally orthogonal to the main direction of the flow (Fig. 6a). 511 Figure 6b shows the pressure drop \overline{P}_{B_1} - \overline{P}_{B_2} as a 512 513 function of the degree of stenosis. The pressure drop 514 across the stenosis increases with the degree of stenosis. 515 It is interesting to notice that a degree of stenosis below 516 20% needs to be reached to have a pressure drop below 517 5 mmHg.

518 Effect of the Peripheral Vascular Boundary Conditions 519 on the Hemodynamics Inside the AVF

520 The effect of varying the mean arterial pressure is 521 investigated by changing the values of the resistance 522 and compliance at the arterial and venous boundary 523 conditions (Table 1). The values of resistance and 524 compliance have been obtained maintaining the pulse 525 pressure constant.

526 In Table 2 we compare the most important quanti-527 tative parameters: the value of the time-averaged 528 venous blood flow, which is an indicator of the flow 529 split between the distal artery and the vein, the peak 530 systolic velocity at the stenosis and the pressure drop across the stenosis. We observe that none of the 531 532 quantities are affected by the mean arterial pressure. 533 The results therefore do not depend on the values set to 534 the R and C constants in the Windkessel model.

535 DISCUSSION AND CONCLUSION

For the first time, the effects on the blood flow have
been studied for a stenosis affecting the feeding artery
of an arteriovenous fistula. The hemodynamics has
been simulated numerically in a patient-specific AVF



with an 60% arterial stenosis. The originality of the 540 541 study is to model the removal of the stenosis by balloon-angioplasty through an implicit numerical simu-542 lation. The balloon is considered to be cylindrical when 543 unloaded. The post-treatment geometry of the vessel is 544 efficiently computed by mimicking the viscoplastic 545 behavior of the arterial wall in the simulation. Since 546 the stenosis removal is rarely complete in clinical 547 practice, we have investigated different degrees of 548 549 residual stenosis ranging from 30 to 0%. It is the range of stenosis correction that is considered as successful 550 551 clinically.

To recreate physiologically realistic flow conditions, 552 553 we have set patient-specific boundary conditions at the two outlets of the AVF using Windkessel models. The 554 challenge was to design a technique to estimate the 555 Windkessel model parameters from the flow rates, 556 which were the only clinical data that could be mea-557 sured non-invasively on the patient. Indeed no data 558 existed in the literature on the global resistance and 559 compliance of the arterial and the venous systems 560 downstream of the AVF. If one compares the AVF 561 values to the healthy case,³⁸ one finds that the venous 562 compliance C_{ν} is larger than in the healthy case by one 563 order of magnitude at maximum, and that the venous 564 resistance R_{ν} is slightly smaller. Conversely, at the 565 arterial side the compliance C_a is about 5 times smaller 566 than in the healthy case and the resistance R_a is 8 times 567 higher than the healthy case value. The R and C values 568 calculated for the AVF translate the fact that the AVF 569 redirects the flow preferentially into the vein. 570

To evaluate the influence of the arterial stenosis on the hemodynamics, we have compared the flow field within the patient-specific and treated geometries. We have shown that the arterial stenosis has no significant effect on the general hemodynamics within the AVF, 575



FIGURE 6. (a) Location of planes B_1 and B_2 . (b) Stenosis pressure drop at the different degrees of residual stenosis. The horizontal line indicates the current clinical criterion, above which the lesion is treated by angioplasty.

TABLE 2. Comparison of the time-averaged venous blood flow \overline{Q}_v at S_v^o , peak systolic velocity v_s and stenosis pressure drop in the patient-specific geometry, when the peripheral *R* and *C* values are modified.

\overline{P}_{a}^{i} (mmHg)	55	70	90
\overline{Q}_{v} (mL min ⁻¹)	750	752	754
$v_{s} ({ m ms^{-1}})$	2.20	2.20	2.20
$\overline{P}_{B_1} - \overline{P}_{B_2}$ (mmHg)	12.1	12	12

576 leaving unchanged the blood flow split between the 577 distal artery and the vein. This is coherent with a recent 578 study that showed that arterial stenoses only affect the 579 arterial outflow when they are located within 5 mm from the anastomosis.³⁴ Our result explains why the 580 581 fistula of the patient under study was still functioning despite the presence of an 60% stenosis: having no 582 583 effect on the venous flow rate, the stenosis did not impact the efficiency of the hemodialysis treatment. 584

Various hemodynamic parameters have been computed to see whether they were influenced by the
arterial stenosis:

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Wall Shear Stresses

589 The presence of the stenosis leads to a local increase 590 of the wall shear stresses at the stenosis neck. At this 591 location, the time-averaged stress \overline{WSS} is 5 times larger 592 than in the fully corrected case (0%-stenosis)—see Fig. 5c. Singh et al.³³ have shown that a time-averaged 593 594 stress of 15 Pa is the threshold, above which the 595 endothelial cells are irremediably damaged and ath-596 erosclerotic plaques might form. From a WSS crite-597 rion, the present study indicates that the stenosis needs 598 to be corrected with a degree of residual stenosis below 599 30% for the WSS to be below the threshold value of 15 600 Pa at the neck.

Pressure Drop Across the Stenosis 601

The pressure drop is the other hemodynamic 602 parameter that was significantly influence by the pre-603 sence of the arterial stenosis. This idea was already put 604 forward by Young⁴¹ for arterial stenoses in general. It 605 is difficult to hypothesize what the clinical consequence 606 of the increase in pressure drop will be. Will it lead to 607 an increase in the upstream pressure and hence in the 608 after-load cardiac pressure? If so, the necessity to 609 remove the arterial stenosis is particularly high in AVF 610 patients, who are already prone to heart failure and 611 sudden cardiac death.^{13,32} Does the increase in pres-612 sure drop instead lead to a decrease in the downstream 613 pressure? It would then have a protecting heart effect. 614 The urge to treat the arterial stenosis would be dictated 615 by the fear of thrombosis and the necessity to preserve 616 the AVF patency in the long-term. 617

All these results would need to be confirmed by 618 other clinical studies. It would similarly be interesting 619 to compare the predicted post-angioplasty geometry 620 with the actual in vivo one. Although conducted on a 621 single patient geometry, the present results can provide 622 the basis for a reflection on the clinical criteria in the 623 case of arterial stenosis. In clinics, a stenosis is cur-624 rently treated when the pressure drop across the lesion 625 is above 5 mmHg.¹⁴ This criterion, originally set for 626 venous stenoses, is used by default for arterial stenoses. 627 We have found that a pressure drop of 5 mmHg cor-628 responds to a 20% residual stenosis (Fig. 6b). The 629 present study would therefore suggest that a 30% 630 residual stenosis degree is too high for arterial stenoses 631 and that the criterion for treatment needs to be 632 reconsidered and adapted to the case of arterial ste-633 nosis. It could also be worth including the peak \overline{WSS} 634 in the reflection. But more cases would need to be 635 studied to check whether the present results hold on. 636



637 Another point that needs to be improved is the detection of arterial stenoses. We have seen that arte-638 639 rial stenoses cause an increase in pressure drop in the 640 concerned artery, but such a quantity is difficult to measure clinically. It could be of interest to investigate 641 642 whether the formation of an arterial stenosis is associated with an increase in systemic pressure. If so the 643 644 monitoring of the blood pressure evolution could become indicative of the presence of a stenosis, if 645 changes are looked for over long time periods. 64Ø 648

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CONFLICT OF INTEREST

None.

STATEMENT OF HUMAN STUDIES 660

661 The clinical images were acquired in 2004 in conformity to the standards of use of medical images 662 663 (patient consent, secured transfer of anonymized data). 664

STATEMENT OF ANIMAL STUDIES

N/A. 666

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