

Sorbonne University's Open Access Policy

Since its creation, Sorbonne University aims to be fully involved in the open science movement by:

- Sharing with all a free access to its research outputs;
- Allowing each member of its community to publicly reclaim their research output;
- Being more open to national and international collaborations;
- Actively contributing to the research on major social issues;
- Sharing with citizens the fruits of their contributions to public research;

The CURIF, of which Sorbonne University is a member, is also involved in this dynamic through the creation of a fund for the development of open science. At the same time, the French National Plan for Open Science (*Plan national pour la science ouverte*) was presented in July 2018 by the Minister of Higher Education, Research and Innovation. In September 2018, Plan S was launched by Science Europe—with the participation of the ANR - for deployment at national and European levels, and scheduled to start on 1st of January 2020. Open Access to publications is one of the first actions in Plan S.

Sorbonne University, its faculty, research staff, and doctoral candidates commit by mutual agreement, to respect the policy for open access to publications, as part of their collective investment in open science.

Sorbonne University commits to:

- Raising awareness in the academic community of the societal and scientific issues regarding open access and open science by:
 - Immediately strengthening its training in open science and best practices in scholarly communication,
 - Training all new doctoral candidates in the challenges and practices of open science, as of September 2020,
- Locally implementing national policies on open access and facilitating the submission and description of publications in the open archive HAL repository by:
 - Supporting and promoting all developments in the HAL national repository that are likely to facilitate the submission of articles by faculty, research staff, and doctoral candidates, and the interoperability between HAL and other open repositories,

- Supporting research staff, doctoral students, and university departments in facilitating the submission of their publications, ensuring legal conditions are met (i.e.: on embargo policies) and guaranteeing the long-term archiving of publications submitted to HAL.
- Informing its research staff and doctoral candidates of the requirements of research funding programs (European Commission, ANR, and others) related to the opening of access to research output;

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- Supporting its research staff and doctoral candidates during the publication process through:
 - Supplying legal information on copyright so as to protect their interests,
 - Offering incentives to use a CC BY public copyright licence;
- Fostering “bibliodiversity” in the spirit of the Jussieu call for Open Science and to defend, within all national and international research councils and bodies, but also in negotiations with publishers, best practices for open access to publications and the development of new publication practices;
- Promoting the value of content and the openness of scientific output over any other indicator, such as the *Journal Impact Factor*, for recruitment and requests for promotion or funding in response to an internal call for projects;
- Setting up a permanent and multidisciplinary working group responsible for reviewing and updating this policy, and monitoring the implementation of actions in favour of open access to publications within the three Sorbonne University faculties.

Sorbonne University faculty, research staff, and doctoral candidates commit to:

- Acting in favour of open science and open access to publications while carrying out their various responsibilities, whether related to research, education, or administration, taking into account the provisions of the French National Plan for Open Science by:
 - Submitting the full text, as soon as it is accepted and at the latest on the date of its publication, of any article or conference proceedings in the Sorbonne University HAL repository: the author uploads to HAL the final version of the author accepted manuscript (or the published version, layout included, if the publisher authorises it), while respecting and setting in HAL, if applicable, the embargo which should be applied to the article, in accordance with article 30 of law no. 2016-1321 of 7th October 2016 for a digital Republic,
- Referencing monographs and other publications which have not

been submitted for systematic full-text archiving in the Sorbonne University HAL, as soon as they are published,

- Giving public access to the publications (Green Open Access preferably) of all publicly-funded research output, in accordance with the recommendations of the French National Plan for Open Science, and with the requirements of the French National Research Agency (ANR) or European Commission, as the case may be;
- Being aware of publishing contracts and agreements, and, if necessary, contact the Publications & Open Access department of the Sorbonne University Library, to verify that these contracts are in compliance with Sorbonne University's policy on open access;
- Ensuring that when an article is published in an open access journal and publications fees (Article Processing Charges) are required that:
 - The journal is fully open access rather than a hybrid publication
 - The journal is recognised in the field for the quality of its editorial process (avoiding predatory publishers),
 - Any publication fees (*Article Processing Charges*) are in line with the real costs of publication,
 - The publication methods are generally compliant with the requirements of the research funding program and with the Sorbonne University open access policy;
- Creating and using the IdHAL and ORCID identifiers to allow them to identify themselves as the unique authors of their publications and to promote the visibility of their scientific output, after having benefitted, if necessary, from training workshops on the digital identity of research staff and doctoral candidates, the management of identifiers and the promotion of publications;
- Keeping informed on developments in Sorbonne University's open access policy

Contact: BSU Publications & Open Access department:

- For any questions relating to the referencing and archiving of publications in the Sorbonne University HAL repository: hal@sorbonne-universite.fr
- For any other questions relating to publications: publications@sorbonne-universite.fr

Glossary:

Article Processing charges (APC): publication fees charged by the publisher for the publication of an article in *Open Access* (as soon as it is published).

Predatory or deceptive publishers: these are publishers who, for purely commercial purposes, take advantage of the gold (author pays) open access model and directly solicit authors. These journals, which ignore peer review work and qualitative requirements, may publish dubious or even plagiarised content.

Embargo: a period during which an author's article is only available in the journal or on the publisher's website. The law for a digital Republic (*Loi pour une République numérique*) limits the duration of the embargo to 6 months for scientific and medical articles, and to 12 months for articles in the humanities and social sciences. After the legal duration of an embargo, the article may be uploaded to an open archive in its "author accepted manuscript" version or in its "publisher" version, depending on the contract signed with the publisher.

Author accepted manuscript (AAM): this is the author's final version of the article, after peer review and correction. As a rule, this version does not bear any mention of pages nor volume, as opposed to a "publisher" version. Usually, it is the "accepted manuscript" version that the author is allowed to submit to an open archive.

Hybrid journal: hybrid journals are subscription-access journals that for a particular article may occasionally require publication fees to make the article available for open access.

Gold Open Access: refers to native and immediate publication for *Open Access*. *Gold Open Access* journals usually charge publication fees.

Green Open Access: consists of making a version of a published article available in an open archive (generally the "accepted manuscript" version). These open archives can be institutional, national or discipline-specific: HAL, as well as ArXiv, PubMed Central, RePEC, are open archives.

Links:

Jussieu Call: <https://jussieucall.org>

Sorbonne University HAL: <https://hal.sorbonne-universite.fr>

IdHAL: <https://doc.archives-ouvertes.fr/identifiant-auteur-idhal-cv/>

ORCID: <https://orcid.org>

French National Plan for Open Science:
https://cache.media.enseignementsup-recherche.gouv.fr/file/Recherche/50/1/SO_A4_2018_EN_01_leger_982501.pdf

Plan S: <https://www.coalition-s.org>