

## Note for the Adoption of the Sorbonne University's Open Access Policy

### Preamble

Open access to publications is one of the first points of the French National Plan for Open Science launched by the MESRI (Ministry of Higher Education, Research and Innovation) in July 2018. Moreover, the Plan S (European-wide) in September 2018, of which the ANR is a stakeholder, has the ambition to roll out this open access as early as January 2020.

In 2018, the UTC set up an HAL portal dedicated to the archiving of available and open access articles: HAL-UTC has one division per laboratory (then sub-division per team...). This portal is therefore an open archive, subject of green access. The BUTC supports the deployment and awareness/training of the UTC scientific community for the repository.

The UTC adopted the Sorbonne University's Open Access Policy at the Scientific Council of 24 January 2020: this note shows the points of commitment of the UTC and those of research staff. The CNRS has also drawn up a roadmap for Open Science since November 2019 (distributed to Joint Research units).

### Institutional UTC commitments :

UTC commits to :

- Raising awareness and training in open science, but also the use of tools allowing free access to publications (UTC portal, publisher policy, etc.),
- Applying national policies for implementing open access and help to upload articles (accompanying to facilitate this action, information) while making research staff aware of the obligations of research funding programs (European Commission, ANR, etc.) on open research data,
- Supporting on legal information (copyright, Creative Commons open licenses),
- Promoting bibliodiversity. The National Plan for Open Science defines 3 actions in this direction: "Explore new business models for journals as well as open access books / Boost university presses and the publishing sector that will make the choice of open access / In case of publication fees (APC), pay them for publications that are fully open access,
- Prioritizing the value of content and the openness of productions to any other indicator such as the Impact Factor Journal,
- Supporting researchers, if needed, in the creation of an ORCID and an IdHAL,
- Updating the content of the policy items as necessary according to modifications in the subject.

### Commitments of the researchers :

UTC research staff (including other staff from joint research units (JRU = UMR) doing research at UTC and PhD candidates) commit to:

- Acting for open science by ensuring that the full text is deposited as soon as it is accepted and at the latest on the date of publication (article, colloquium) on HAL-UTC portal, and by referencing all gray literature in HAL-UTC portal,
- Complying with the recommendations of the French National Plan for Open Science and the requirements of the funders (ANR, Europe, etc.),
- reviewing publishing contracts and, if necessary, contact the BUTC Library,
- if APC, ensuring the quality of the journal (open access rather than hybrid, recognition of the quality of the editorial process, compliance with publishers' expectations),

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- creating and using IdHAL and ORCID identifiers (to promote the visibility of their scientific production),
- ensuring that they are aware of the evolution of UTC policy.

### Key definitions:

**Article Processing charges (APC)** publication fees charged by the publisher for the publication of an article in Open Access.

**Predatory publishers** these publishers take advantage of the author-pays model of open access publishing for any commercial purpose without regard to quality or scientific integrity. In addition, the content may be fake or plagiarized.

**Embargo** it is a time limit that applies to an article before it can be made available for free access.

**Author Accepted manuscript (AAM)** this is the post-print (or the version accepted by the reviewers after corrections). This is not the publisher version.

**Hybrid journal** these are the journals that are both subscription-based and also charge an APC to an author.

**Gold access** It is the immediate open access of a publication often under payment of a PCA.

**Green access** it is when a version of the published article (very often the post-print) is available in an open archive. These archives can be institutional, national, thematic...

**ORCID** It is a personal identifier (ORCID ID) that allows you to identify yourself throughout your career.

**IdHAL** "It is a unique identifier managed in HAL. It allows you, an authenticated user, known as an author in HAL, to group all your posts. "

*Definitions are those of sites specialized on the subject of open science.*

### Links:

Sorbonne University's Open Access Policy:

<https://www.sorbonne-universite.fr/sites/default/files/media/2020-01/Charte-libre-acces-publications.pdf>

HAL-UTC : <https://hal.utc.fr/>

IdHAL : <https://doc.archives-ouvertes.fr/identifiant-auteur-idhal-cv/>

ORCID : <https://orcid.org/>

French National Plan for Open Science : [https://cache.media.enseignementsup-recherche.gouv.fr/file/Actus/67/2/PLAN\\_NATIONAL\\_SCIENCE\\_OUVERTE\\_978672.pdf](https://cache.media.enseignementsup-recherche.gouv.fr/file/Actus/67/2/PLAN_NATIONAL_SCIENCE_OUVERTE_978672.pdf)

Plan S : <https://www.coalition-s.org/>

Roadmap of CNRS for Open Science :

[http://www.cnrs.fr/sites/default/files/press\\_info/2019-11/Plaqueette\\_ScienceOuvverte.pdf](http://www.cnrs.fr/sites/default/files/press_info/2019-11/Plaqueette_ScienceOuvverte.pdf)

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